

Exercises to the lecture  
Complexity Theory  
Sheet 8

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Delivery until 06.01.2016 at 12h

## Christmas Exercise



**Exercise 8.1** (Alternation bounded *QBF* and collapsing of the polynomial hierarchy)

Consider the following definition:

- $\Sigma_i QBF = \{ \psi \mid \psi = \exists \bar{x}_1 \forall \bar{x}_2 \dots Q_i \bar{x}_i \varphi(\bar{x}_1, \dots, \bar{x}_i) \text{ is true} \},$
- $\Pi_i QBF = \{ \psi \mid \psi = \forall \bar{x}_1 \exists \bar{x}_2 \dots Q_i \bar{x}_i \varphi(\bar{x}_1, \dots, \bar{x}_i) \text{ is true} \},$

where  $\bar{x}_j$  denotes a finite sequence of variables and  $Q_i$  is a quantor. Note that there are at most  $i - 1$  alternations of quantors.

These *alternation bounded QBF* problems will help us to understand the polynomial hierarchy in more detail:

- a) Show that  $\Sigma_i QBF$  is in  $\Sigma_i^P$  and that  $\Pi_i QBF$  is in  $\Pi_i^P$ .
- b) Prove that  $\Sigma_i QBF$  is  $\Sigma_i^P$ -hard with respect to polytime reductions and that  $\Pi_i QBF$  is  $\Pi_i^P$ -hard with respect to polytime reductions.  
*Hint: Take an arbitrary language in  $\Sigma_i^P$  and reduce it to  $\Sigma_i QBF$ . Note that we showed that *QBF* is PSPACE-complete. Extract the idea from this proof.*

Note that we now have the following situation:

- $SAT = \Sigma_1 QBF$  and this is  $NP = \Sigma_1^P$ -complete,
- $co-SAT = \Pi_1 QBF$  and this is  $co-NP = \Pi_1^P$ -complete.
- The alternation bounded *QBF* instances are complete for  $\Sigma_i^P$  and  $\Pi_i^P$ ,
- and the general *QBF* which allows unbounded alternation is PSPACE-complete.

We can make use of this to show that in some situations, the polynomial hierarchy collapses:

- c) Assume we have a  $k$  so that  $\Sigma_k^P = \Sigma_{k+1}^P$ , then we also have  $\Pi_k^P = \Pi_{k+1}^P$ .
- d) If we have a  $k$  so that  $\Sigma_k^P = \Pi_k^P$ , then we have for any  $k' \geq k$  that  $\Sigma_{k'}^P = \Pi_{k'}^P = \Sigma_k^P$ . So the polynomial hierarchy collapses to this level.  
*Hint: Prove this by induction on  $k'$ . Show that  $\Sigma_{k+1} QBF$  is already in  $\Sigma_k^P$ .*
- e) If we have a  $k$  so that  $\Sigma_k^P = \Sigma_{k+1}^P$  then we already have that the polynomial hierarchy collapses to this level.  
*Hint: Use parts d) and c).*

We wish you a merry Christmas and a good start to the new year. Enjoy your vacation!

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