

## 24. The Nemhauser - Trotter Theorem

Goal:

- Algorithms are faster if they run on smaller kernels.
- Reduce the kernel for VERTEXCOVER.

Idea: Instead of local reduction rules use rules on the structure of the whole graph.

### Theorem (Nemhauser & Trotter '75):

Let  $G = (V, E)$  have  $n$  vertices and  $m$  edges.

In time  $O(\sqrt{n} \cdot m)$  we can compute disjoint sets

of vertices  $X$  and  $H$  so that

(1) If  $D \subseteq H$  is a vertex cover of  $G|_H$  (induced subgraph) then  $D \cup X$  is a vertex cover of  $G$ .

(2) There is a minimum-sized vertex cover of  $G$  containing  $X$ .

(3) The minimum-sized vertex cover for  $G|_H$  has size  $\geq \frac{|H|}{2}$ .

### Corollary (Chen, Kanj, Jia '01):

- VERTEXCOVER has a kernel of size  $2k$ .
- We can compute it in quadratic time.

### Corollary (What Nemhauser & Trotter really says?):

- Every minimal vertex cover of  $G$  that contains  $X$  takes the shape

$$X \cup D,$$

where  $D$  is a minimal vertex cover of  $G|_H$ .

- Moreover, such a minimal vertex cover (containing  $X$ ) exists.

Proof:

- Take a minimal vertex cover  $C$  of  $G$  that contains  $X$ .

Exists by (2), this is the moreover part.

- Then the remaining graph  $G \setminus X$  is covered by the set  $C \setminus X$ .

Since  $X \cap H = \emptyset$ , we have

$$(G \setminus X) \upharpoonright_H = G \upharpoonright_H.$$

This means  $(C \setminus X) \cap H$

is a vertex cover of  $G \upharpoonright_H$ .

- We now show that  $C \setminus X$

is a minimal vertex cover of  $G \upharpoonright_H$

and hence coincides with  $(C \setminus X) \cap H$ .

Towards a contradiction, assume there is  $D \subseteq H$

that is a vertex cover of  $G \upharpoonright_H$  and satisfies  $|D| < |C \setminus X|$ .

Then

$D \cup X$  is a vertex cover of  $G$  by (1).

Moreover,

$$|D \cup X| = |D| + |X|$$

$$< |C \setminus X| + |X| = |C|. \quad \text{by minimality of } C.$$

Proof (of the Chen, Kenj, Jia corollary):

The kernel is the subgraph  $G \upharpoonright_H$  induced by  $H$ .

If it has size  $> 2k$ , by Nemhauser & Trotter (3) the answer is "no".

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For the proof of Nemhauser & Trotter,  
we need some graph theory.

Definition:

- A matching in a bipartite graph is a set of edges such that no two edges are incident to a common vertex.
- A matching is maximum-sized if there is no larger matching.

Theorem (Micali & Vazirani '80):

A maximum matching can be found in time  $O(\sqrt{|V|} \cdot |E|)$ .

We are interested in the relationship  
between matching and vertex cover.

Theorem (König's minmax theorem):

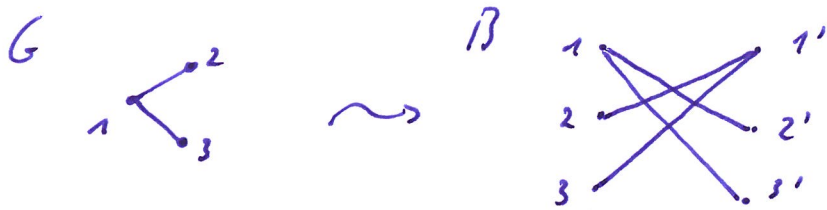
In a bipartite graph,  
the size of a minimum-sized vertex cover  
= the size of a maximum-sized matching.

Note: Minmax theorems always go like this:

- You know that things of type A always have size less than things of type B.
- Now assume you find a thing of type A with size equal to a thing of type B.
- Then the type A thing must be maximal-sized and the type B thing must be minimal-sized.

## Algorithm (for Nemhauser & Trotter):

- For  $G = (V, E)$ , construct a bipartite graph  $B = (V, V', E_B)$  with  $V' := \{v' \mid v \in V\}$  and  $E_B := \{\{x, y'\}, \{x', y\} \mid \{x, y\} \in E\}$ .



- Compute a maximum-sized matching for  $B$  using Micali & Vazirani.
- By König's minmax theorem, this gives an optimal vertex cover  $C_B$  of  $B$ .

Define

$$X := \{x \in V \mid x \in C_B \wedge x' \in C_B\}$$

$$H := \{x \in V \mid x \in C_B \vee x' \in C_B\} \setminus X.$$

We omit here

- ↳ the proof of Micali & Vazirani
- ↳ the proof of König's minmax theorem (also conversion needed)
- ↳ the proof of correctness (Properties (1)-(3)) for the choice of  $X$  and  $H$ .

□