

# Constructing a finite and complete prefix

Branching process  $(O, h)$  of  $N$  is complete, if it contains "as much information as  $\text{Unf}(N)$ ".

## Definition (complete prefix):

Let  $N = (S, T, W, M_0)$  and  $(O, h)$  one of its branching processes.

We say  $(O, h)$  is complete (a complete prefix of  $\text{Unf}(N)$ ) if for all  $M \in R(N)$  there is  $C \in \mathcal{C}_{\text{fin}}(O, h)$ :

- $M \upharpoonright C = M$  //  $M$  represented in  $(O, h)$
- For all  $t \in T$  with  $M \upharpoonright t \neq \emptyset$  there is  $(\exists e) e \in \mathcal{C}_{\text{fin}}(O, h)$  with  $h(e) = t$  //  $t$  represented in  $(O, h)$ .

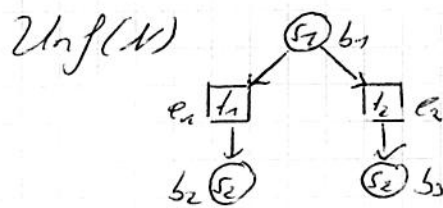
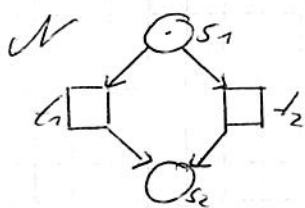
## Observation:

Unfolding can be reconstructed from complete prefix

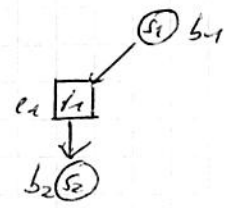
↳ All markings + their firings present.

Does not hold if only reachable markings preserved.

## Example:



complete prefix



prefix, not complete  
 $M_0 \upharpoonright t_2$  missing.

## In the following:

- $N$  has finitely many markings (safe)
  - ↳  $\text{Unf}(N)$  contains a complete prefix that is finite
- Compute it (by variant of algorithm above)
  - ↳ Identify events at which computation can be stopped without losing information (cut-off events).

Part of branching process lying "behind a configuration"

Definition:

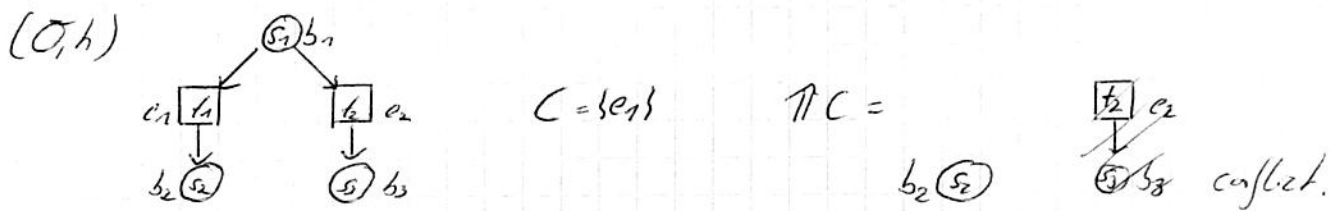
Let  $C \in \text{Fin}(O, h)$  with  $O = (B, E, \sigma)$ .

Then  $\pi C$  is the pair  $(O', h')$  where  $O'$  is the subset of  $O$  with

•  $B' \cup E' = \{x \in B \cup E \mid x \notin C \cup \bar{C} \text{ and } \neg x \neq y \text{ f.o. } y \in C\}$

•  $h' = h|_{O'}$ .

Example:



Lemma:

Let  $(O, h)$  be a branching process of  $(N, M_0)$ .

Let  $C \in \text{Fin}(O, h)$ . Then  $\pi C$  is a branching process of  $(N, M_0 \setminus C)$ .

If  $(O, h) = \text{Unf}(N, M_0)$ , then  $\pi C \cong_{\text{iso}} \text{Unf}(N, M_0 \setminus C)$ .

Some considerations:

Let  $C_1, C_2$  be finite configurations with

$$M_0 \setminus C_1 = M = M_0 \setminus C_2.$$

Then by lemma:

$$\pi C_1 \cong_{\text{iso}} \text{Unf}(N, M) \cong_{\text{iso}} \pi C_2.$$

By transitivity

$$\pi C_1 \cong_{\text{iso}} \pi C_2.$$

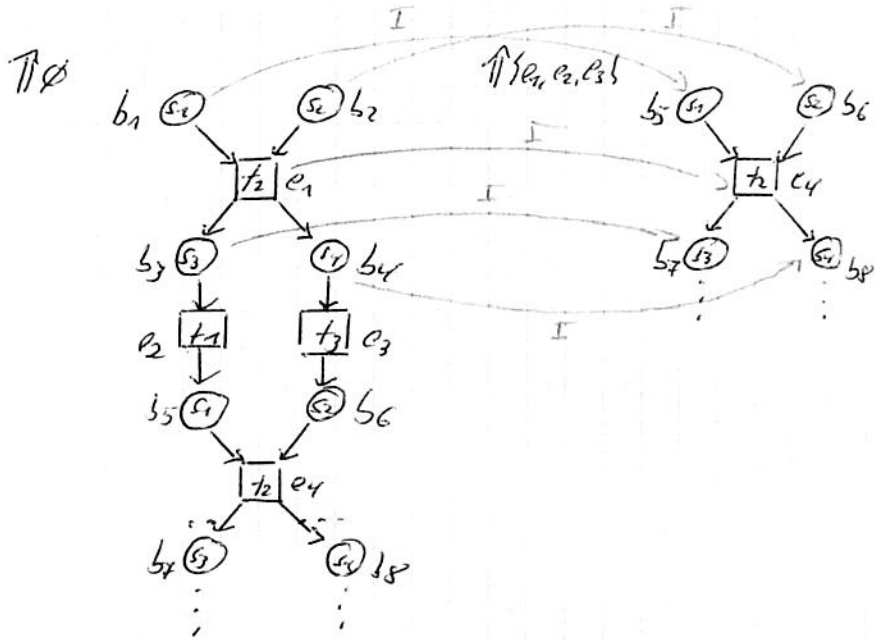
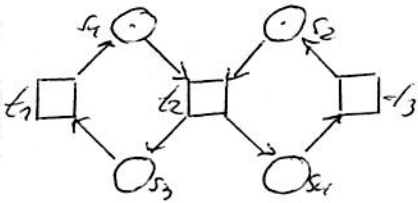
Let  $I: B_1 \cup E_1 \rightarrow B_2 \cup E_2$  be the isomorphism

induced mapping from finite extensions of  $C_1$

to finite extensions of  $C_2$ :

$$C_1 \oplus E \mapsto C_2 \oplus I(E).$$

Example:



$$\{e_1\} \mapsto I(\{e_1\}) = \{e_4\},$$

since

$$\emptyset \oplus \{e_1\} \mapsto \{e_1, e_2, e_3\} \oplus \{e_4\}.$$

Idea (McMillan '92)

Attach to each event a reachable marking of the Petri net

Definition (Local configuration):

(consists a branching process  $(O, h)$  with  $O = \{B, C, G\}$ .)

The local configuration of event  $e \in E$  is

$$[e] := \{e' \in E \mid e' \leq e\}.$$

Assume  $e'$  is added to a branching process so that

$$\text{Mark}([e']) = \text{Mark}([e])$$

for some event  $e$  added before.

By lemma:  $\uparrow[e'] =_{so} \uparrow[e]$ .

Intuitively: Sufficient to pursue construction of  $[e]$ , only.

$\hookrightarrow$  Mark  $[e']$  as w/o ff.

It's not that easy.

## Definition (Adequate order):

A strict partial order  $\prec$  on  $\mathcal{C}_{fin}(\mathcal{U}_{inj}(N)) \times \mathcal{C}_{fin}(\mathcal{U}_{inj}(N))$

is called adequate, if

- $\prec$  is well-founded // no infinite decreasing sequence  $c_1 \succ c_2 \succ c_3 \dots$
- $C_1 \subseteq C_2$  implies  $C_1 \prec C_2$  //  $\prec$  refines  $\subseteq$
- If  $C_1 \prec C_2$  and  $\text{Mark}(C_1) = \text{Mark}(C_2)$ , then  $C_1 \oplus E \prec C_2 \oplus E$ .  
i.e. finite extensions  $E$  of  $C_1$   $\prec$ -preserved by finite extensions.

## Example (McMillan's adequate order):

$C \prec C'$ , if  $|C| < |C'|$  // less events

## Definition (Cut-off event):

Let  $(Q, h) \in \mathcal{U}_{inj}(N)$  with  $O = (B, E, G)$  and  $e \in E$ .

Let  $\prec$  be an adequate order on the configurations of  $\mathcal{U}_{inj}(N)$ .

Event  $e$  is a cut-off event of  $(O, h)$  w.r.t.  $\prec$

if  $(O, h)$  contains a local configuration  $[e]$  with

(i)  $\text{Mark}([e]) = \text{Mark}([e'])$

(ii)  $[e] \prec [e']$ .

Family of algorithms parametrised by adequate order  $\prec$

1) Events added according to  $\prec$

2) Cut-offs identified and marked

3) Terminates, when no more events can be added.

## Procedure (ERP algorithm for finite and complete prefixes):

input:  $N = (S, T, W, M_0)$  with  $M_0 = \{s_1, \dots, s_n\}$

$\prec$  adequate order on  $\mathcal{C}_{fin}(\mathcal{U}_{inj}(N))$ .

begin:

$Fin := \{(s_1, \emptyset), \dots, (s_n, \emptyset)\}$ .

$pe := PE(Fin)$

cut-off :=  $\emptyset$

while  $pc \neq \emptyset$  do

let  $e = (t, X) \in pc$  with  $[e]$   $\lambda$ -minimal

if  $[e] \cap \text{cut-off} = \emptyset$  then

add to Fin event  $e$

add to Fin  $(s, e)$  s.t.  $s \in t'$

$pc := PE(\text{Fin})$ .

if  $e$  is cut-off of Fin then

cut-off := cut-off  $\cup \{e\}$

end if

else

$pc := pc \setminus \{e\}$

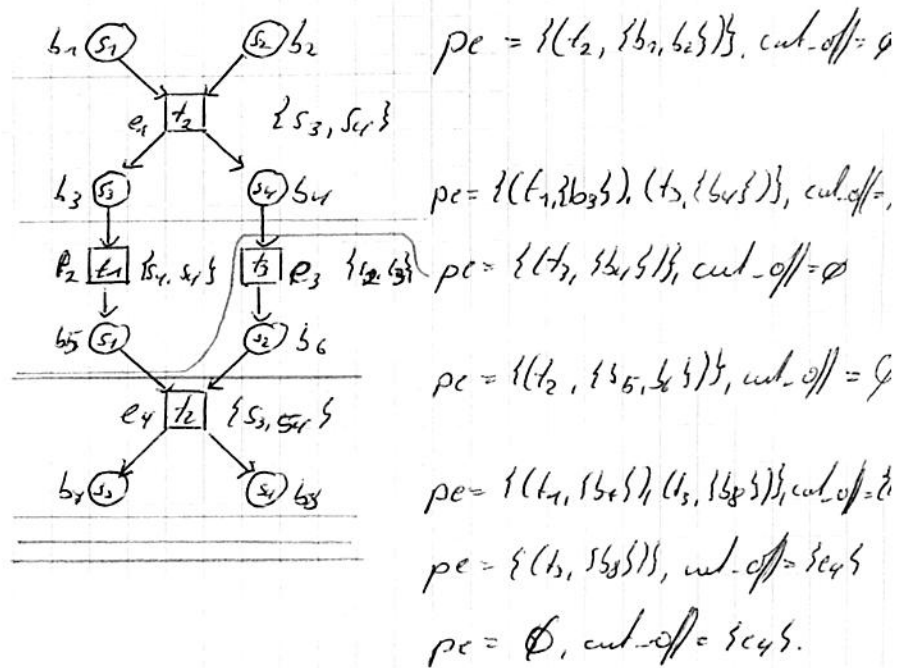
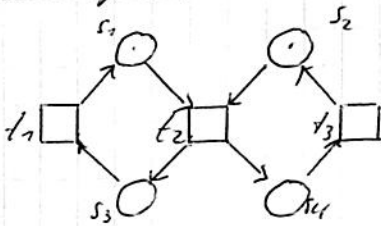
end if

end while

end

output: Fin, a finite and complete prefix of  $2b_1(N)$ .

Example:



# Proposition

$F_{in}$  is finite.

Proof:

Let  $F_{in} = (O, h)$  with  $O = (B, E, G)$  be the output with  $N = (S, T, W, \mu)$  as input.

The depth  $d(e) \in \mathbb{N}$  of an event  $e \in E$  is the length of the longest causal chain leading to  $e$ ,

$$e_1 < e_2 < \dots < e_{n-1} < e \Rightarrow d(e) = n$$

Prove in three steps:

1)  $d(e) \leq |R(N)| + 1$

Cuts correspond to reachable markings.

Hence, every sequence

$$e_1 < e_2 < \dots < e_{|R(N)|} < e_{|R(N)|+1}$$

of events in  $F_{in}$  contains  $i < j$  with

$$\text{Mark}([e_i]) = \text{Mark}([e_j]).$$

Furthermore,

$$[e_i] \subset [e_j] \text{ and thus } [e_i] \not\prec [e_j].$$

Hence,  $[e_j]$  is a cut-off event of  $F_{in}$ .

2) For every event  $e \in E$ , the sets  $e$  and  $e^\circ$  are finite.

By definition of  $h$ , there is a bijection between

$e$  and  $h(e)$  as well as  $e^\circ$  and  $h(e)^\circ$ .

Finiteness follows from finiteness of  $N$ .

3) For all  $k \in \mathbb{N}$ , there are only finitely many  $e \in E$  so that  $d(e) \leq k$ .

Proceed by induction on  $k$ .

$$\underline{k=0} \quad E_0 = \{e \in E \mid d(e) \leq 0\} = \emptyset.$$

Induct: Assume  $E_k = \{e \in E \mid d(e) \leq k\}$  is finite.

Consider  $E_{k+1}$ .

We have

$$E_{k+1} \subseteq E_k \cup \text{Min}(O).$$

By the hypothesis,  $E_k$  is finite and by (7)  $E_k$  is finite as well.

Since by definition of  $h$

$$h(\text{Min}(O)) = M_0,$$

$\text{Min}(O)$  is finite as well.

By non-redundancy of branching processes,  $E_{k+1}$  has to be finite.

By (1) + (3),  $\text{Fin}$  has finitely many events, by (2) finitely many conditions.  $\square$

## Proposition

$\text{Fin}$  is complete.

Proof:

Consider  $\mathcal{N} = (S, T, W, M_0)$ , and  $\text{Fin} = (O, h)$  with  $O = (C, E, G)$ .

(1) For all  $M \in \mathcal{R}(\mathcal{N})$  there is  $C \in \mathcal{C}_{\text{fin}}(\text{Fin})$  with  $M = \text{Mark}(C)$ .

Consider  $M \in \mathcal{R}(\mathcal{N})$ .

The unfolding and the Petri net have the same readable markings. Hence, there is

$$C_1 \in \mathcal{C}_{\text{fin}}(\text{Unf}(\mathcal{N}))$$

with  $M = \text{Mark}(C_1)$ .

If  $C_1 \notin \mathcal{C}_{\text{fin}}(\text{Fin})$ , then there is a cut-off event  $e_1$  with

$$C_1 = [e_1] \oplus E_1$$

By definition of cut-offs, there is a local configuration  $[e_2]$  with  $[e_2] \prec [e_1]$  and

$$\text{Mark}([e_2]) = \text{Mark}([e_1]).$$

Consider

$$C_2 = [C_2] \oplus I(E_1).$$

Since  $\prec$  preserved by finite extensions,

$$C_2 \prec C_1.$$

Moreover,  $\text{Mark}(C_2) = \text{Mark}(C_1)$ .

If  $C_2 \in \mathcal{C}_{\text{fin}}(F_{\text{in}})$ , we iterate the procedure to find  $C_3 \prec C_2 \prec C_1$ .

This iteration eventually terminates as  $\prec$  is well founded.

The last configuration is in  $\mathcal{C}_{\text{fin}}(F_{\text{in}})$ .

2) Assume  $\text{ALT}$ .

We already know there is  $C' \in \mathcal{C}_{\text{fin}}(F_{\text{in}})$

with  $\text{Mark}(C') = M$ .

Hence, there is a  $\prec$ -minimal such configuration  $C \in \mathcal{C}_{\text{fin}}(F_{\text{in}})$

with  $\text{Mark}(C) = M$ .

Assume  $C$  contains a cut-off event.

By (1), we would find a  $\prec$  smaller configuration  $C_{\text{ne}}$

with  $\text{Mark}(C_{\text{ne}}) = M$  and  $C_{\text{ne}} \prec C$ .

This contradicts minimality of  $C$ .

Hence,  $C$  contains no cut-off events, and

$$C \oplus \text{set}$$

is also a configuration of  $\mathcal{C}_{\text{fin}}(F_{\text{in}})$ , where  $e$  labelled by  $e$ .

Note

• If  $\prec$  is an adequate and total order,

the number of non-cut-off events in  $F_{\text{in}}$  does not exceed  $|R(N)|$ .

• There are total adequate orders for safe Petri nets.



# SAT-based Verification:

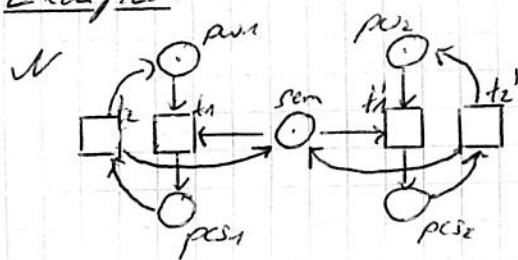
Consider Petri net  $N = (S, T, W, M_0)$ .

Let  $(O, h)$  be finite and complete prefix with  $O = (O, E, G)$ .

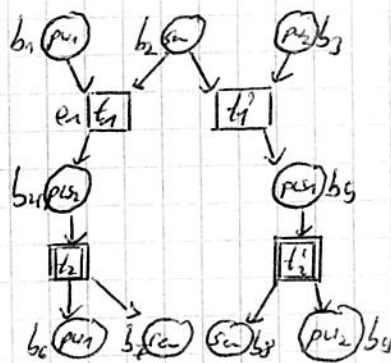
Interested in safety properties of  $N$

- ↳ Violations expressible in terms of reachability (in  $N$ )
- ↳ Reformulate violations on configurations of  $(O, h)$ .

Example:



$(O, h)$



Safety properties:

Mutual exclusion:

violated iff  $M(p_{s1}) = 1 = M(p_{s2})$  for some  $M \in R(N)$ .

iff  $M(b_4) = 1 = M(b_5)$  for some  $M \in R(O)$

Approach:

In a branching process, every  $e \in E$  can be executed at most once

- ↳ Map events  $e \in E$  to Boolean variables  $x_e$ .

Translate finite and complete prefix + safety property into Boolean satisfiability problem (SAT).

$\mathcal{L} \wedge \mathcal{G}$ .

that is

unsatisfiable iff property of interest holds.

Every satisfying assignment yields configuration  $C$  in  $(0, h)$   
(and hence transition sequence in  $N$ )

where final marking violates property.

## Construction of $\mathcal{C}$ :

Formula so that assignment to variables  
yields configuration

$$C = \{e \in E \mid x_e = 1\}.$$

Idea: Encode causality as implication.

$$\mathcal{C} = \underbrace{\bigwedge_{e \in E} \bigwedge_{f \in E} (\neg x_e \vee x_f)}_{\substack{C \text{ causally closed} \\ (e \Rightarrow f)}} \wedge \underbrace{\bigwedge_{e \in E} \bigwedge_{f \in E} (\neg x_e \vee \neg x_f)}_{\substack{C \text{ conflict free} \\ e \Rightarrow \neg f \\ f \Rightarrow \neg e}}$$

Example:

$$\underbrace{(\neg x_{e_3} \vee x_{e_1})}_{e_3} \wedge \underbrace{(\neg x_{e_4} \vee x_{e_2})}_{e_4} \wedge \underbrace{(\neg x_{e_1} \vee \neg x_{e_2})}_{e_1} \wedge \underbrace{(\neg x_{e_2} \vee \neg x_{e_1})}_{e_2}$$

Notes:

- CNF, as required by SAT solvers
- Property independent
- Extra variables can be introduced for places  
(often unnecessary, treat markings as final markings of configurations)
- Quadratic in  $O$ , codings  $\rightarrow$  down to linear

## Construction of $\mathcal{V}$ :

- Property dependent: Express violation of property.
- If configuration satisfies  $\mathcal{V}$ , property does not hold.
- $C$  gives trace to bad behaviour (counterexample).

Mutex:

$$V^* = \underbrace{(x_{e1} \wedge \neg x_{e3})}_{b_1 \text{ mutex}} \wedge \underbrace{(x_{e2} \wedge \neg x_{e4})}_{b_5 \text{ mutex}}$$

Similar formula for all mutex descriptions.